

Schedule of matters reserved to the Office for Legal Complaints (OLC)

Governance

1. approving the delegation of any of the Office of Legal Complaints (OLC's) powers to the Chief Ombudsman¹ and approving the Scheme of Delegation;
2. approving the OLC's rules of procedure²;
3. approving all proposed revenue and capital expenditure above £148,000 for submission to the Ministry of Justice; and
4. approving the minutes of OLC meetings.

Appointments and organisational structure

1. appointing and dismissing committees³;
2. approving the Terms of Reference of committees, sub-committees and sub-groups⁴;
3. approving the appointment or dismissal of the Chief Ombudsman;
4. approving, with the Chief Ombudsman's consent, the appointment of other ombudsmen to assist in the performance of the OLC's functions⁵;
5. approving the Chief Ombudsman's recommendation to dismiss an Ombudsman (Senior Ombudsman or above);
6. approving significant changes of organisational structure; and
7. appointing, dismissing and approving the remuneration of the internal auditor.
8. appointing or removing an independent complaints adjudicator

Strategy, business plan and budget

1. approving the corporate strategy and strategic objectives; and
2. approving the business plan and annual budget, including any requests to the MoJ and LSB to vary the overall annual budget.

Risk management

1. approving the strategy and procedures for the management of risk;
2. approving the strategic Risk Register; and
3. approving the risk appetite and risk tolerances for the OLC's strategic risks.

Policy decisions

1. approving policy statements;
2. approving in principle the proposed response to an external consultation;
3. approving decisions in respect of matters subject to legal proceedings; and
4. approving the Scheme Rules (subject to necessary approval from the LSB and Lord Chancellor).

Financial and performance reporting arrangements

1. approving Performance Metrics.
2. oversight of Executive Management of the Legal Ombudsman;
3. approving Memoranda of Understanding with other bodies;
3. approving the OLC Annual Report and Accounts for presentation to the Lord Chancellor, Comptroller and Auditor General and Legal Services Board, and assuring that itself that it complies with any directions given by the Lord Chancellor⁶; and
4. approving committee, sub-committee and sub-groups performance objectives.

¹ Paragraph 22 of Schedule 15 to Legal Services Act 2007 ('the Act').

² *Ibid.* para. 20.

³ *Ibid.* para. 19.

⁴ *Ibid.* para. 20.

⁵ *Ibid.* para. 13.

⁶ *Ibid.* Para 26.